

# Active Species Concentrations in Pure N<sub>2</sub> and Ar/x%N<sub>2</sub> Flowing Late Afterglows at Reduced Pressure: Implications for the Sterilization of the Medical Instrumentation

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**ABSTRACT:** The present paper is devoted to the characterization of the late afterglow region of N<sub>2</sub> and Ar–N<sub>2</sub> flowing discharges. Optical emission spectroscopy is used to quantify the concentrations of the chemical species able to interact with microorganisms in the treatment chamber. Herein, we show that the production of nitrogen atoms in the afterglow of a reduced-pressure flowing microwave discharge can be increased using Ar–N<sub>2</sub> mixtures instead of pure nitrogen. At 45 cm from the discharge, the maximum concentration of atoms, obtained for the Ar–15%N<sub>2</sub> mixture, is  $2.2 \times 10^{21} \text{ m}^{-3}$  at 9 Torr with an injected microwave power  $P_{\text{MW}}$  of 100 W and  $2.9 \times 10^{21} \text{ m}^{-3}$  at 200 W. Compared with the maximum N atom concentrations obtained in pure nitrogen at 4 Torr, this result corresponds to an increase of 120% at 100 W and 45% at 200 W.

We have verified that these results can be obtained while conserving full late afterglow conditions at a temperature equal to the room temperature at 100 W and not exceeding 35°C at 200 W. Under such conditions, the concentrations of the NO(A and B) excited states, formed from the impurities of the flowing gas, are low. For pure nitrogen, the concentration of the N<sub>2</sub>(A) metastable state was also measured at the end of the pink afterglow, where it is assumed to be maximal; it was  $2.5 \times 10^{16} \text{ m}^{-3}$ .

Nitrogen atoms are the major reactive species in the late afterglow region. They are believed to be responsible for the antibacterial properties of pure N<sub>2</sub> and Ar–N<sub>2</sub> flowing afterglows. To verify this assumption, the Ar–15%N<sub>2</sub> flowing afterglow was applied to inactivate *Escherichia coli* bacteria, and the results were compared with previous studies performed in pure nitrogen and using the same microbiological protocol. With 40 min of exposure to Ar–15%N<sub>2</sub> afterglow ( $[N] = 2.9 \times 10^{21} \text{ m}^{-3}$ ) at 32°C, a 6 log decrease of the initial bacteria population was obtained, while the same 6 log reduction could only be reached at 60°C with pure nitrogen afterglow ( $[N] = 1.0 \times 10^{21} \text{ m}^{-3}$ ).

**KEY WORDS:** Afterglow plasma processes, nitrogen, optical emission spectroscopy, sterilization, metastable states

## I. INTRODUCTION

Today, the autoclave is the most widely used method for the sterilization of reusable medical instruments. It is efficient for the sterilization of packaged instruments that may present complex shapes, and it is also inexpensive, relatively fast ( $\sim 1$  h), easy to use, and free of toxic byproducts. However, the maximum temperature reached during the autoclaving cycle is of  $134^{\circ}\text{C}$ , and it can only be used on instruments that can withstand this temperature without damage. For heat-sensitive instruments, the alternative sterilization gaseous reagents, the most common being ethylene oxide (EtO). But EtO is a very toxic gas that requires great caution in its handling and use. In the presence of residual water, EtO is transformed in ethylene glycol, which is an inefficient biocidal. EtO also reacts with the chlorine atoms of PVC materials to produce chloroethanol, another toxic gas for which the sterilized devices must be ventilated after sterilization, sometimes for as long as 24 hours.

During the last 15 years, different gas discharges operating at pressures ranging from low pressure ( $\approx 0.1$  Torr)<sup>1</sup> to atmospheric pressure<sup>2</sup> have been studied to develop a plasma sterilizer that will treat instruments either inside the discharge (i.e., direct treatment) or submitted to the discharge effluents (i.e., remote treatment) in the afterglow region.

Due to the presence of large concentrations of energetic (electrons, ions) and reactive species (radicals, atoms, excited states able to emit UV radiation), direct treatments generally produce the rapid death of the exposed microorganisms and achieve a sterile state (i.e., a 6 log reduction of the initial bacterial concentration) in some tens of seconds.<sup>3,4</sup>

Remote treatments require longer times to reach the same sterile state, but they provide the advantage of an homogeneous treatment in large-volume reactors (up to many liters), which allows better diffusion of the active species.<sup>5,6</sup>

In one of our previous works, we showed that the antimicrobial efficiency of a pure nitrogen flowing afterglow can be largely improved by an increase of the concentration of the nitrogen atoms present in the treatment chamber.<sup>7</sup>

In pure nitrogen discharges, the electron energy distribution function (EEDF) is depleted above 3 eV because of the low mean vibrational excitation of the  $\text{N}_2(\text{X})$  molecules. This is not the case for argon discharges for which the EEDF extends to higher energies, due to the presence of the first excitation threshold at 11.5 eV. Consequently, the addition of small percentages of nitrogen to the argon carrier gas may improve the nitrogen dissociation through two main channels: (1) direct electron impact dissociation in the discharge by more energetic electrons, and (2) collisions between argon metastable molecules and nitrogen molecules in the afterglow.<sup>8</sup>

In the present paper,  $\text{Ar}-x\%\text{N}_2$  ( $x < 20\%$ ) afterglows are characterized, with a particular focus on N atom production and the application of these afterglows to the eradication of microorganisms.

The paper is organized in three main parts: section II describes the experimental arrangement and the microbiological protocol. Section III concerns the optimization of

the atomic nitrogen production in Ar- $x\%N_2$  mixtures. Pure  $N_2$  and Ar- $x\%N_2$ -flowing afterglows were investigated in this study and are presented in terms of gas temperature and of absolute concentrations of the chemical species of interest (N atoms,  $N_2(A)$  metastable states, and NO radiative states). Finally, in part IV our evaluation of the antimicrobial efficiency of the optimal Ar/ $N_2$  mixture is presented and compared with that of the pure  $N_2$  afterglow.

## II. EXPERIMENTAL ARRANGEMENT AND MICROBIOLOGICAL PROTOCOL

### A. Reduced-Pressure Flowing Afterglow Setup

In this setup, the gas flow is generated by a continuous injection of nitrogen and argon (Linde, 99.995% purity), monitored by Brooks 5850TR mass flow meters, and pumped by a primary vacuum rotary vane pump (Edwards E2M28). It is excited by a Sairem GMP 03 KE/D microwave generator connected to a surfatron cavity and operating at 2.45 GHz, with an output power  $P_{MW}$  adjustable between 0 and 300 W. The discharge is sustained in a quartz tube 5 mm in internal diameter (i.d.), extended by a bent quartz tube of 17 mm i.d. and connected to a 4.5-L Pyrex reactor (15 cm i.d. and 25 cm height). The bend prevents the UV radiation coming from the discharge to reach the bacteria exposed in the treatment chamber (Fig. 1). The distance  $d$  between the surfatron cavity and the bent tube can be modified between 5 and 25 cm; the length of the bent quartz tube is fixed at 40 cm. During the NO titration, an additional Ar-2%NO injection is used, connected to the 17 mm i.d. tube. The gas pressure is measured in the treatment chamber by a MKS Baratron capacitive transducer located on the top of the chamber and is regulated with a throttle valve placed downstream.

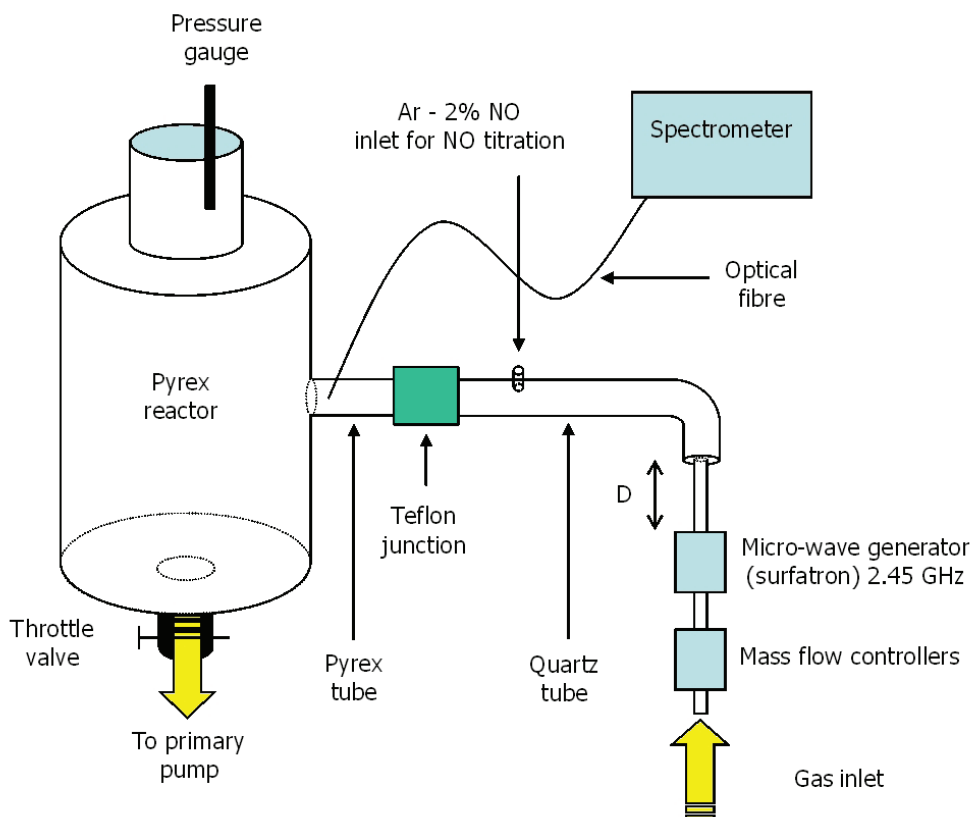
The spectral emission of the afterglow is collected by an optical fiber that can be moved along the injection tubes and along the reactor and proceeds to the entrance slit of an Acton Spectra Pro 2500i spectrometer (focal length of 50 cm, mounted with three gratings: 1200, 2400, and 3600 gr  $mm^{-1}$ ), equipped with a Pixis 256E CCD detector (front illuminated 1024  $\times$  256 pixels).

### B. Microbiological Protocol

The *E. coli* strain (CIP: 54.8 T) provided by the International Collection of Pasteur was used in this method to facilitate the comparison of these results with our previous results, which were obtained in pure nitrogen with the same microbiological protocol.<sup>7</sup>

Before exposure, a broth containing an average bacteria concentration of  $10^8$   $ml^{-1}$  is prepared. A 10- $\mu$ l droplet of this broth is deposited on a sterile glass sheet, placed in the treatment chamber, and slowly desiccated by vacuum exposure (10 min at 0.01 Torr), resulting in a bacterial film of 5–10 mm in diameter which is exposed to the afterglow.

After exposure, the glass sheet and the bacterial film containing living and nonliving bacteria are immersed in 1 ml of LB broth. Bacteria are then retrieved using a 90-s gentle mechanical agitation. A total of 100  $\mu$ l of the recovery suspension is retrieved, eventu-



**FIG. 1:** Experimental setup of the reduced pressure flowing microwave afterglow.

ally diluted, and spread on agar in Petri dishes. The colonies formed from the surviving bacteria counted after 24 h of incubation at 37°C. The sterile level is considered to have been reached when no colonies are observed and when the recovery suspension shows no turbidity after an overnight incubation. Each experiment was repeated three times.

### III. AFTERGLOW DIAGNOSTICS

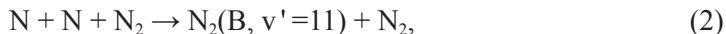
#### A. Nitrogen Concentration

##### 1. Pure Nitrogen

In the pink afterglow, the population of the  $N_2(B)$  state responsible for the first positive (1+) emission is essentially due to the collisions between vibrationally excited ground-state molecules with the  $N_2(A)$  metastable state:



while in the late afterglow, only the  $N_2(B, v'=11)$  state remains substantially populated by the three-body recombination of the nitrogen atoms:



producing an apparent intensification of the 1+ emission around 580 nm:



As a consequence, depending on the afterglow operating conditions and at a given distance from the discharge, only a fraction  $k_L$  of the intensity measured at 580 nm is due to the atomic recombination, and the changes observed in the vibrational intensity distribution of the 1+ emission (Fig. 2) can then be used to monitor the relative N atom concentration during the transport between the discharge and the late afterglow region. For gas pressure higher than 1 Torr, the 1+ intensity at 580 nm  $I_{580\text{nm}}$  is then related to the N atom concentration by the following formulas:

$$k_L I_{580 \text{ nm}} \propto [N_2(B, v' = 11)] \propto [N]^2 \quad (4)$$

$$[N] = K_{\text{norm}} \sqrt{k_L I_{580 \text{ nm}}} \quad (5)$$

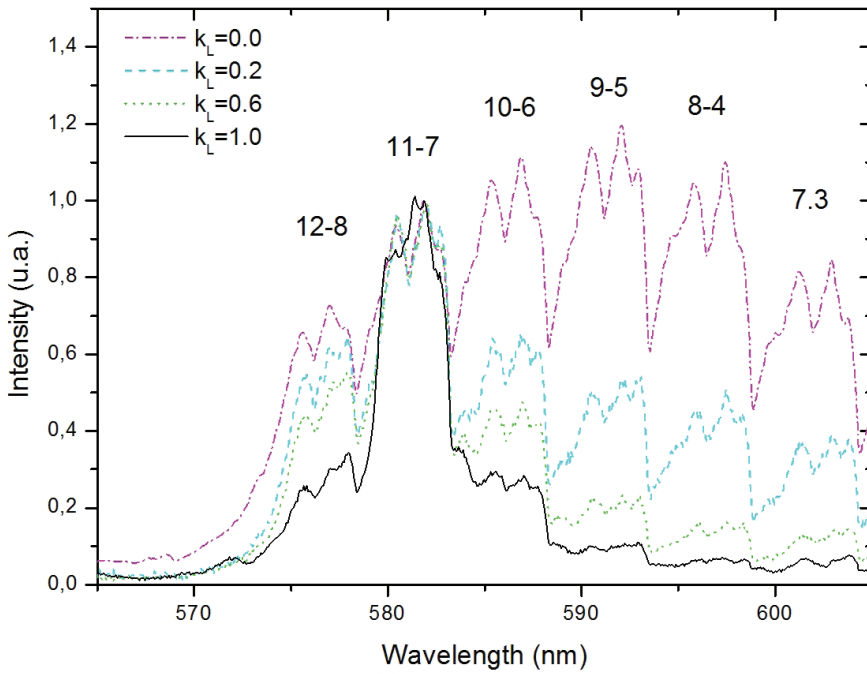
As proposed by Bockel et al.,<sup>9,10</sup> the  $k_L$  coefficient can be obtained by directly comparing the measured relative vibrational intensity distributions  $R_{B,v'}$  of the  $v' = 7-12$  bands of the  $\Delta v = -4$  sequence of the 1+ system and the theoretical  $R_{B,v'}$  distributions calculated for the full pink afterglow ( $k_L = 0$ ), for the full late afterglow ( $k_L = 1$ ), or for intermediate cases ( $0 < k_L < 1$ ):

$$R_{B,v'} = \frac{I[N_2(B, v')]}{\sum_{v'=7}^{v'=12} I[N_2(B, v')]} \quad (6)$$

The classical NO titration method can then be used to obtain the normalization constant  $K_{\text{norm}}$  and the absolute concentrations of the nitrogen atoms.<sup>10</sup> The proportionality law established in Eq. (5) between the square root of the  $I_{580\text{nm}}$  emission measured in full late afterglow conditions ( $k_L = 1$ ) and the N-atom absolute concentration given by NO titration was verified as shown in Fig. 3. The variations of the N-atom concentration measured for a  $N_2$  flow rate of 1.0 slpm at the center of the treatment reactor for different pressures and different injected microwave powers  $P_{\text{MW}}$  are shown in Fig. 4.

## 2. $Ar-x\%N_2$ mixtures ( $x < 20\%$ )

In the presence of argon, the relative vibrational intensity distribution of the  $\Delta v = -4$  sequence of the 1+ emission is modified, as shown in Fig. 5. For high  $N_2$  percentages ( $10\% < x < 20\%$ ), an increase in the emission of the 10-6 and 9-5 bands of the sequence,



**FIG. 2:** Normalized emission spectra of the  $\Delta v = -4$  sequence of the first positive system of  $N_2$  measured in pure nitrogen for different afterglow conditions. The  $k_L$  parameter represents the fraction of the first positive intensity at 581 nm due to the three-body recombination of the nitrogen atoms through reactions (2) and (3).

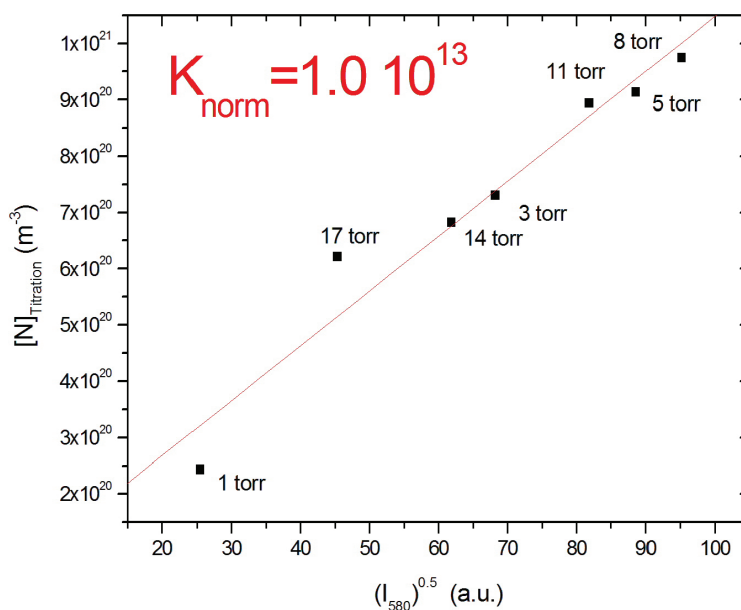
compared with the 11-7 band can be observed. For low  $N_2$  percentages ( $x < 5\%$ ), the emission of the 8-4 band is favored. These modifications can be attributed to the presence of metastable states of argon ( $Ar^m$ ,  $E^m > 11.55$  eV) in the afterglow; their energy is enough to enhance the production of the  $N_2(C)$  state by a selective excitation transfer,<sup>11</sup>



and this transfer is followed by a radiative de-excitation toward the  $N_2(B)$  and  $N_2(A)$  states:



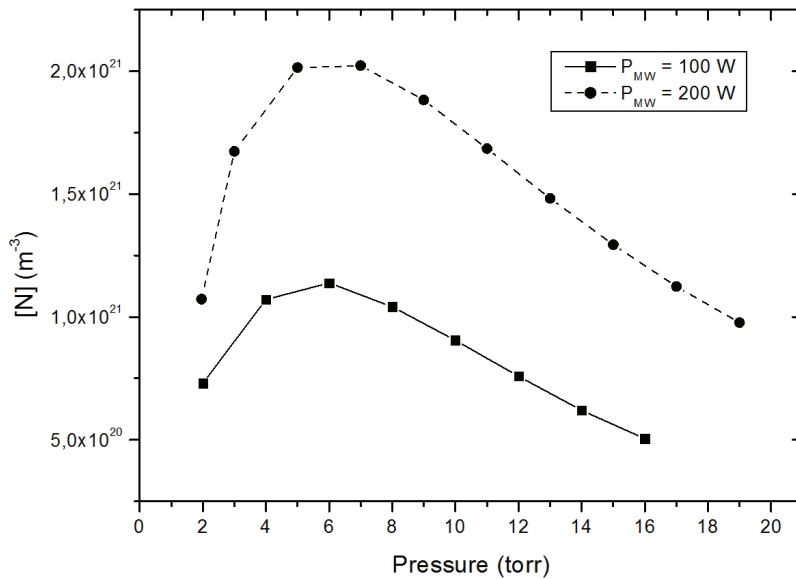
As long as the dominant process for the population of the  $N_2(B, v' = 11)$  state remains the atomic three-body recombination [reaction (2)], the pure  $N_2$  method remains valid to obtain the N-atom concentration in the  $Ar-x\%N_2$  afterglows at the condition under which the  $k_L$  coefficient from the theoretical vibrational intensity distributions  $R_{B,v'}$  calculated



**FIG. 3:** Variation of the N-atom absolute concentration given by NO titration with the square root of the  $I_{580nm}$  emission measured for different pressures at the reactor entrance in full late afterglow conditions ( $k_L = 1$ ). The proportionality between the two quantities gives the normalization constant of eq. (5).

for each mixture. The normalized vibrational intensity distributions of the theoretical  $R_{B,v}$  are given in Fig. 6a for the pure  $N_2$  afterglow and in Fig. 6b for the  $Ar-15\%N_2$  afterglow. For the  $Ar-N_2$  mixtures, the full pink afterglow condition ( $k_L = 0$ ) was taken at the distance to the surfatron at which the intensity emitted by the first negative emission corresponding to the transition at 391.4 nm between the  $N_2^+(B, v' = 0)$  and  $N_2^+(X, v'' = 0)$  states of the molecular ion) is maximal. The full late afterglow condition ( $k_L = 1$ ) was considered to have been reached when the observed  $R_{B,v}$  relative distribution became independent from the distance to the surfatron.

The corresponding variations of the absolute N atom concentration measured at the reactor entrance with the pressure and the percentage of nitrogen in the mixture are shown in Fig. 7. The N-atom production increases rapidly for percentages of nitrogen less than 10% and shows a saturation for higher percentages between 3 and 15 Torr. Comparing the atomic concentrations measured in the reactor for pure nitrogen and for the  $Ar-15\%N_2$  mixture (Fig. 8) at constant power and pressure, the N atom density is always higher and obtained at a higher pressure in the mixture than in pure nitrogen. The increase of the N atom concentration in the two afterglows is 120% at 100 W and 45% at 200 W. There are also more atoms at 100 W and 9 Torr in the  $Ar-15\%N_2$  mixture than at 200 W and 5 Torr in pure nitrogen. Consequently, we chose to use the  $Ar-15\%N_2$  mixture for the microbiological tests analyzed in section IV.

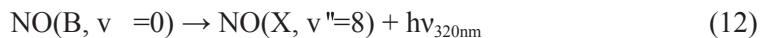
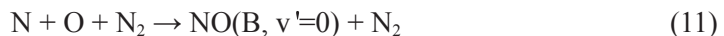


**FIG. 4:** Variation of the N-atom absolute concentration measured in pure N<sub>2</sub> in the treatment reactor ( $Q_{N_2} = 1.0$  slpm,  $d = 5$  cm).

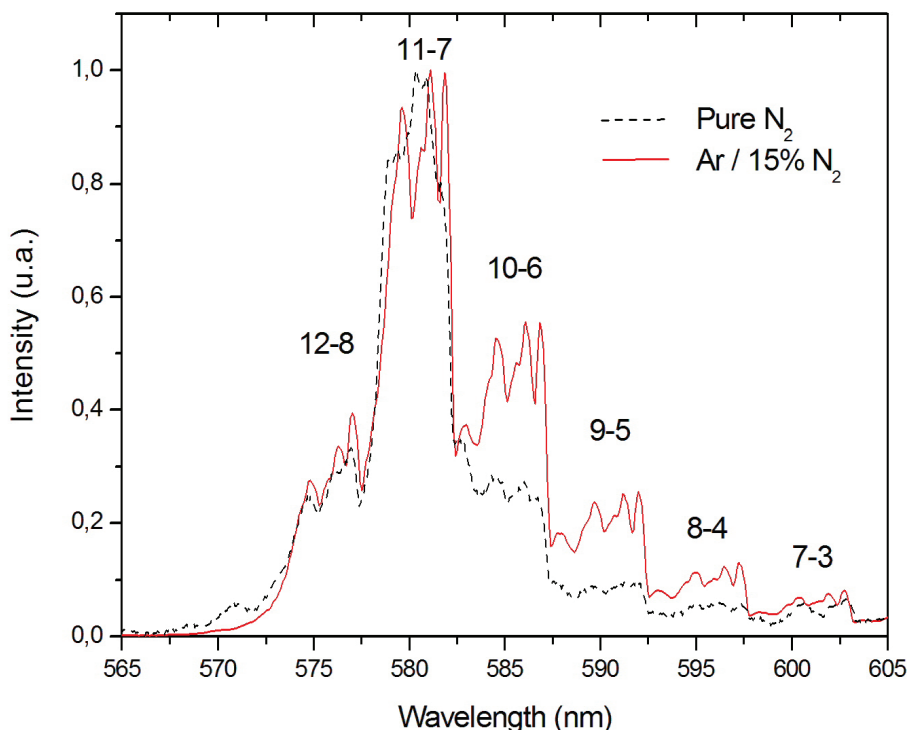
Notably, for the typical operating conditions of the afterglows used in this study ( $p \geq 2$  Torr,  $Q_{\text{carrier}} = 1.0$  slpm,  $P_{\text{MW}} \leq 200$  W and  $x \leq 20\%$ ), full late afterglow conditions were reached for  $d > 5$  cm, corresponding to a total distance of 45 cm between the surfatron and the treatment chamber. This distance was used for all the microbiological exposures.

## B. N<sub>2</sub>(A) Concentration

Due to its long radiative lifetime ( $\approx 2$  s) and its high potential energy (6.17 eV), the first metastable state N<sub>2</sub>(A) of the nitrogen molecule may have a significant impact on the chemistry of nitrogen-containing afterglows and their antimicrobial activity. The methods used to measure the N<sub>2</sub>(A) absolute concentration were generally based either on the emission of the forbidden Vegard-Kaplan system  $N_2(A^3\sigma_u^+ \rightarrow X^1\sigma_g^+)$  around 300 nm<sup>12,13</sup> or on absorption techniques.<sup>14,15</sup> In the first case, the uncertainty is high due to the low intensity of the band emission, having an absolute transition probability of 0.16 s<sup>-1</sup> for the most intense 0-6 band.<sup>16</sup> In the latter case, the reliability of the measurement remains poor for metastable densities around 1016 m<sup>-3</sup>, as expected in the nitrogen afterglow.<sup>15,17</sup>

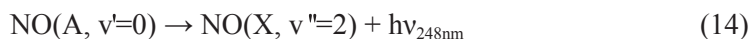
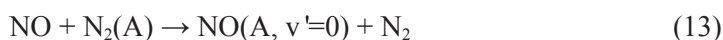






**FIG. 5:** Comparison between the vibrational intensity distribution of the  $\Delta v=-4$  sequence of the first positive system of N<sub>2</sub> measured in full late afterglow conditions in pure nitrogen and in the Ar–15% N<sub>2</sub> mixture ( $Q_{\text{carrier}} = 1.0$  slpm,  $p = 5$  Torr,  $P_{\text{MW}} = 100$  W).

and

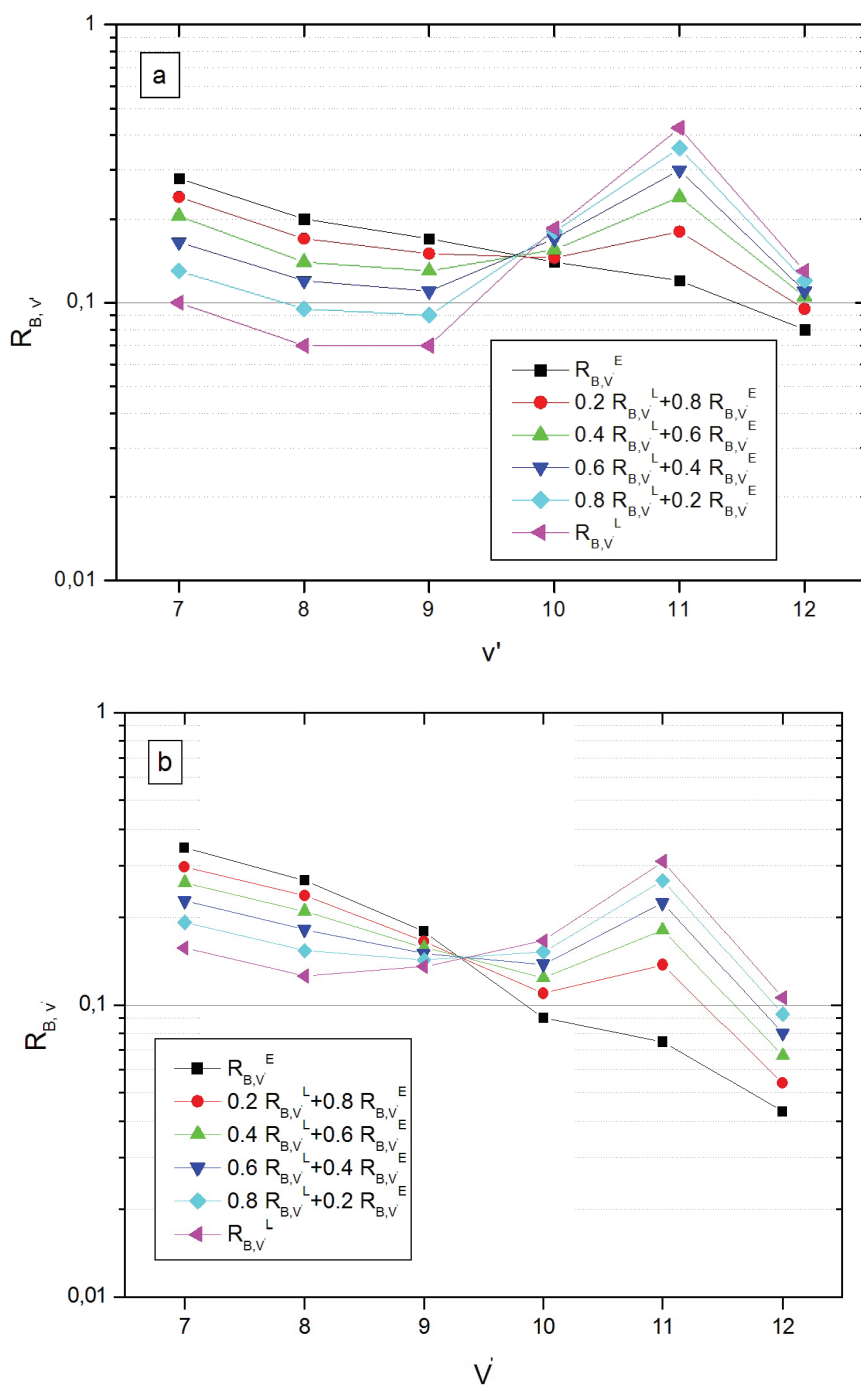


The intensities emitted by the two bands are related to the concentrations of the emitting states according to two formulas:

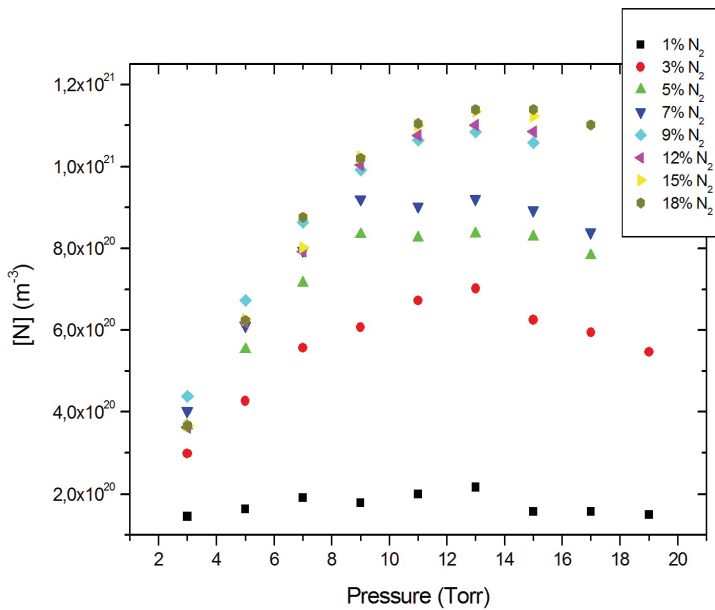
$$I_{246\text{nm}} = [\text{NO}(\text{A}, v'=0)] A_{\gamma}^{0-2} bG(248\text{nm})/248\text{nm} \quad (15)$$

$$I_{320\text{nm}} = [\text{NO}(\text{B}, v'=0)] A_{\beta}^{0-8} bG(320\text{nm})/320\text{nm} \quad (16)$$

where  $A_{\gamma}^{0-2}$  and  $A_{\beta}^{0-8}$  are the vibrational transition probabilities of the two bands,  $G(\lambda)/\lambda$  is the relative spectral function of the optical system used for the acquisition, and  $b$  is a geometrical multiplicative constant, independent of wavelength.



**FIG. 6:** Normalized vibrational intensity distributions  $R_{B,v}$  calculated for (a) pure nitrogen (taken from [9]) and (b) the Ar-15%N<sub>2</sub> mixture (this work).  $R_{B,v}^E$  corresponds to a full early afterglow distribution and  $R_{B,v}^L$  corresponds to a full late afterglow distribution.



**FIG. 7:** Variation of the N-atom absolute concentrations measured at the reactor entrance with the N<sub>2</sub> percentage of the Ar–N<sub>2</sub> mixture. ( $Q_{Ar} = 1.0$  slpm,  $P_{MW} = 100$  W,  $d = 5$  cm).

Using the quasi-stationary approximation and the main kinetic mechanisms for the creation and the destruction of the emitting states given in Table 1 with their corresponding rate coefficients  $A_i$  and  $k_i$ , it follows that

$$[\text{NO}(A, v' = 0)] = \frac{k_1}{A_1 + k_2 [\text{N}_2]} [\text{N}_2(A)] [\text{NO}] \quad (17)$$

$$[\text{NO}(B, v' = 0)] = \frac{k_3 [\text{N}_2]}{A_2 + k_4 [\text{N}_2]} [\text{N}] [\text{O}]. \quad (18)$$

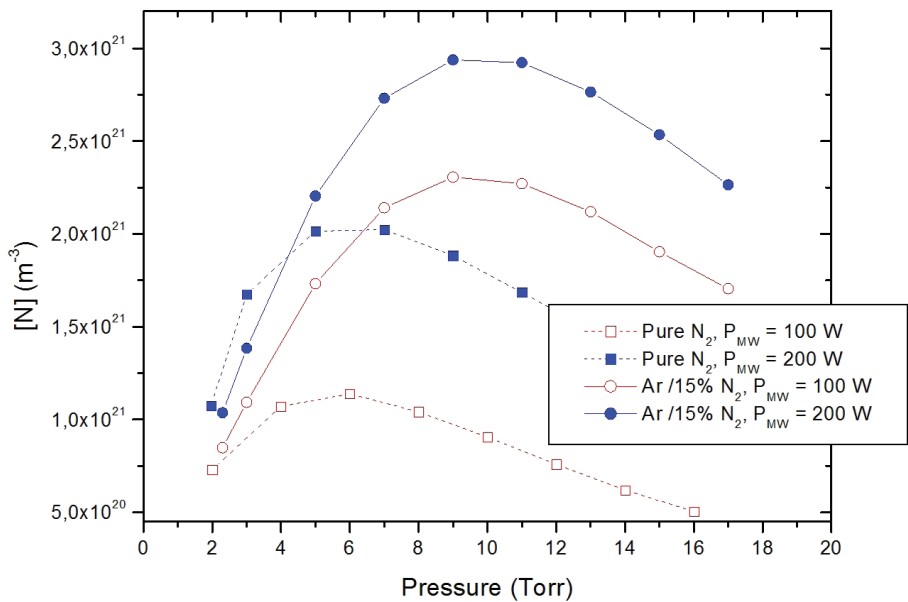
The intensity ratio between the two bands is then equal to

$$\frac{I_{248\text{nm}}}{I_{320\text{nm}}} = \frac{Q_1}{Q_2} \frac{[\text{NO}]}{[\text{O}]} \frac{[\text{N}_2(A)]}{[\text{N}]} \quad (19)$$

with

$$Q_1 = \frac{k_1}{A_1 + k_2 [\text{N}_2]} A_\gamma^{0-2} \frac{G(248\text{nm})}{248\text{nm}} \quad (20)$$

$$Q_2 = \frac{k_3 [\text{N}_2]}{A_2 + k_4 [\text{N}_2]} A_\beta^{0-8} \frac{G(320\text{nm})}{320\text{nm}} \quad (21)$$

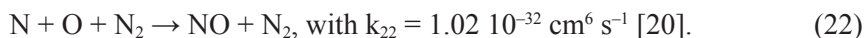


**FIG. 8:** Comparison between the N-atom absolute concentrations measured in the treatment reactor in pure  $\text{N}_2$  and in the Ar–15% $\text{N}_2$  mixture. ( $Q_{\text{carrier}} = 1.0$  slpm,  $d = 5$  cm).

**TABLE 1.** Main creation and loss mechanisms involved in the chemistry of the  $\text{NO}(A, v' = 0)$  and  $\text{NO}(B, v' = 0)$  radiative states. The given rate coefficients are taken from Pointu et al.<sup>18</sup>

Observed emissions	Creation mechanisms	Loss mechanisms
$\text{NO}(A, v' = 0)$ $\rightarrow \text{NO}(X, v'' = 2) + h \Delta_{248nm}$ $A_v^{0-8} = 1.12410^6 \text{ s}^{-1}$	$\text{NO} + \text{N}_2(A) \rightarrow \text{NO}(A, v' = 0)$  $+ \text{N}_2$  $k_1 = 6.610^{-11} \text{ cm}^3 \text{ s}^{-1}$	$\text{NO}(A, v' = 0) + \text{N}_2 \rightarrow \text{products}$  $k_2 = 4.910^{-14} \text{ cm}^3 \text{ s}^{-1}$  $\text{NO}(A, v' = 0) \rightarrow \text{NO}(X) + h\nu$  $A_1 = 4.910^6 \text{ s}^{-1}$
$\text{NO}(B, v' = 0)$ $\rightarrow \text{NO}(X, v'' = 8) + h \Delta_{320nm}$ $A_\beta^{0-8} = 8.51310^4 \text{ s}^{-1}$	$\text{N} + \text{O} + \text{N}_2 \rightarrow \text{NO}(B, v' = 0)$  $+ \text{N}_2$  $k_3 = 2.910^{-34} \text{ cm}^6 \text{ s}^{-1}$	$\text{NO}(B, v' = 0) + \text{N}_2 \rightarrow \text{products}$  $k_4 = 6.110^{-13} \text{ cm}^3 \text{ s}^{-1}$  $\text{NO}(B, v' = 0) \rightarrow \text{NO}(X) + h\nu$  $A_2 = 4.710^5 \text{ s}^{-1}$

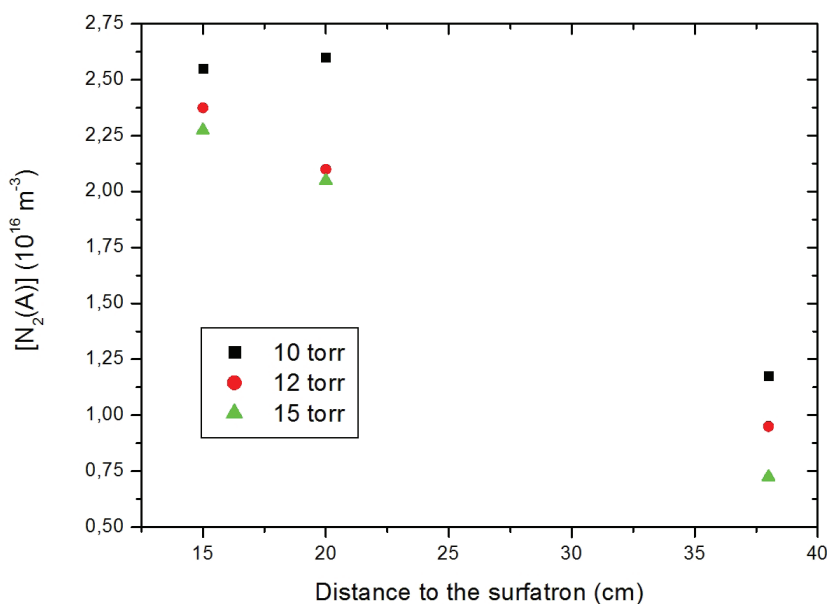
As the main reaction channels for the O and NO species follow reaction (10), with a rate coefficient  $k_{10} = 1.82 \cdot 10^{-11} \text{ cm}^3 \text{ s}^{-1}$  [20], the three-body recombination reaction is



The  $[NO]/[O]$  ratio can be approximated to  $(k_{22} [N_2])/k_{10}$ , and the  $[N_2(A)]$  absolute concentration can be directly deduced from the measurement of the intensity ratio  $I_{246nm}/I_{320nm}$  and from the previously determined absolute N atom concentration (*see* section III.A. Nitrogen Concentration):

$$[N_2(A)] = 5.75 \cdot 10^{-22} [N] \left[ N_2 \right] \frac{Q_1}{Q_2} \frac{I_{246nm}}{I_{320nm}} \quad (23)$$

The  $N_2(A)$  absolute concentrations measured using this method in the pure nitrogen afterglow at different distances from the surfatron are presented in Fig. 9. With these afterglow operating parameters, the measurement points correspond to an afterglow time between 7 and 18 ms, located in the transition zone between the end of the pink afterglow (where the metastable concentration is supposed to present a maximum) and the beginning of the late afterglow. The measured values are in good agreement with the concentrations measured by Sadeghi et al. using an intracavity laser absorption spectroscopy method<sup>15</sup> and with the values calculated by Levaton et al.<sup>17</sup> It was not possible to measure the  $N_2(A)$  concentration closer to the treatment reactor because of the weakness of the  $NO\gamma$  and  $NO\beta$  emissions, but it is reasonable to think that it is low (less than



**FIG. 9:** Absolute concentration of the  $N_2(A)$  metastable measured in the pure nitrogen afterglow. ( $Q_{N_2} = 0.5 \text{ slpm}$ ,  $P_{MW} = 100 \text{ W}$ ).

$10^{15} \text{ m}^{-3}$ , compared with the N atom concentration of  $10^{21} \text{ m}^{-3}$ ). In such conditions, the  $\text{N}_2(\text{A})$  metastable state is not expected to play a major role in the death of microorganisms in the late nitrogen afterglow.

To our knowledge, the rate coefficients for the specific excitation and de-excitation of the radiative states  $\text{NO}(\text{A}, v' = 0)$  and  $\text{NO}(\text{B}, v' = 0)$  in the presence of argon are not available in the literature, and the corresponding  $Q_1$  and  $Q_2$  constants cannot be calculated in the Ar- $\text{N}_2$  mixtures. Nevertheless, the presence of the metastable states of Ar in the Ar- $\text{N}_2$  afterglows is expected to produce higher  $\text{N}_2(\text{A})$  concentrations than in pure  $\text{N}_2$  through reaction (7) and the radiative cascades in reactions (8) and (9).

### C. UV Emission

In the UV-C range (200–290 nm), the highest biocidal efficiency of most of the microorganisms is between 254 and 260 nm, corresponding with the maximum absorption of the DNA.<sup>21,22</sup> In  $\text{N}_2$ - $\text{O}_2$  afterglows at reduced pressure, this wavelength range is covered by the emission of the  $\text{NO}\beta$  system (200–380 nm), in which production can be optimized (essentially through reaction (11)) to achieve sterilization in combination with chemical erosion by N and O atoms and heating. In flowing late afterglows, the maximum of the UV production is obtained for mixtures containing approximately 0.3% oxygen.<sup>10,23</sup>

In the pure nitrogen and Ar- $\text{N}_2$  afterglows studied here, oxygen was present as an impurity, and its concentration did not exceed 0.005%. With our exposure conditions ( $p < 10$  Torr,  $d > 5$  cm,  $P_{\text{MW}} \leq 200$  W), the  $\text{NO}\beta$  system can be observed in the treatment chamber, with a very low intensity, hardly distinguishable from the background signal of the acquisition system. As a consequence, we do not believe that UV irradiation plays a major role in the sterilization process.

### D. Gas Temperature

The gas temperature  $T_g$  of the afterglows at the entrance of the reactor ( $d = 15$  cm) was estimated using optical emission spectroscopy through the relative variation of the emission of two sub-substructures of the 2-0 band of the 1+ system of  $\text{N}_2$  around 775 nm. This method, described in detail by Britun et al.,<sup>24</sup> gives access to the rotational temperature, assumed here to be equal to  $T_g$  and also valid in pure nitrogen than in the Ar- $\text{N}_2$  mixtures. This method provides the advantage of very good sensitivity at low temperatures ( $T_g < 400$  K), as the intensity ratio strongly varies in this temperature range.

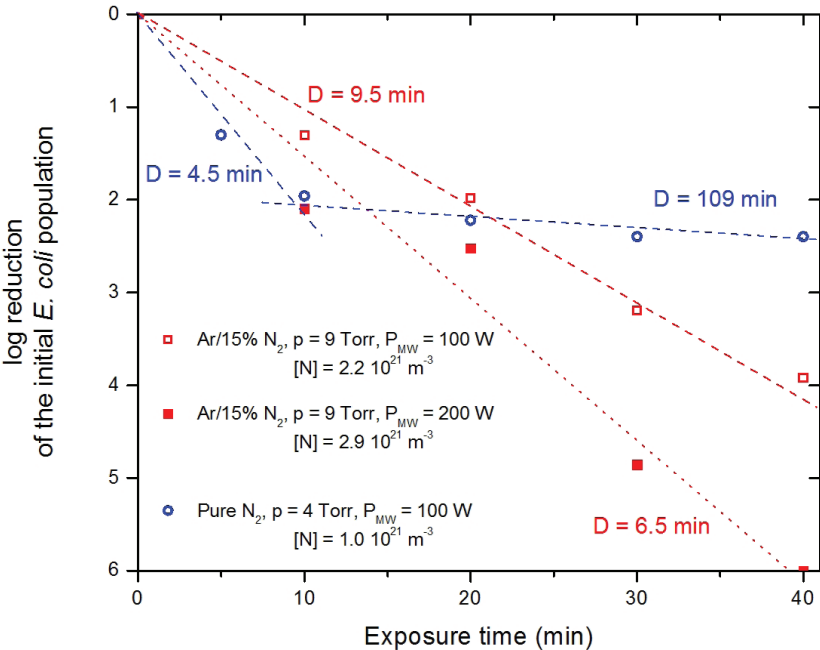
The temperatures of the afterglows studied in this work are listed in Table 2. For the two gases, the temperature is equal to the room temperature for an injected microwave power of 100 W and increases by 5–10°C when PMW reaches 200 W.

## IV. STERILIZATION RESULTS

With a pure nitrogen afterglow, Villeger et al. showed that it was possible to obtain a 2.5-log decrease of the initial *E. coli* population at room temperature (Fig. 10).<sup>7</sup> This result

**TABLE 2.** Gas temperatures of the afterglows measured using the P1/P2 spectral peaks ratio method presented in Britun et al. ( $Q_{\text{carrier}} = 1.0 \text{ slpm}$ ,  $p = 5\text{-}10 \text{ Torr}$ ,  $d = 15 \text{ cm}$ ).

	$P_{\text{MW}} = 100\text{W}$	$P_{\text{MW}} = 200\text{W}$
Pure N <sub>2</sub>	23°C	30°C
Ar - 15% N <sub>2</sub> mixture	25°C	32°C



**FIG. 10:** Survival curves obtained for *E. coli* exposed to different late afterglows in the treatment chamber at temperatures close to the room temperature. ( $d = 5 \text{ cm}$ ,  $Q_{\text{carrier}} = 1.0 \text{ slpm}$ ). Linear least-square fits are given, with the corresponding  $D$  values.

was obtained in 40 min of exposure with the same experimental setup used in the present work and the same microbiological protocol. The injected microwave power was set to 100 W, with a nitrogen flow rate of 1.0 slpm and an operating pressure fixed at 4 Torr to maximize the nitrogen atom concentration ( $[N] = 1.0 \times 10^{21} \text{ m}^{-3}$ ) in the treatment chamber (Fig. 4). The survival curve obtained clearly shows two slopes. Cell death occurred rapidly during the first 10 minutes of exposure, with a  $D$  value (exposure time required to decrease a given population of bacteria by a factor of 10) close to 4.5 min. After the first 10 min of exposure, the antimicrobial efficiency decreased rapidly, with a  $D$  value of 109 min.

With the Ar–15%N<sub>2</sub> mixture, for the same injected microwave power of 100 W, the optimal N-atom concentration was  $[N] = 2.2 \times 10^{21} \text{ m}^{-3}$  at 9 Torr. A unique slope for the survival curve (with a  $D$  value of 9.5 min) resulted, with a 4 log decrease of the initial bacteria population, always within 40 min of exposure. When PMW has increased to

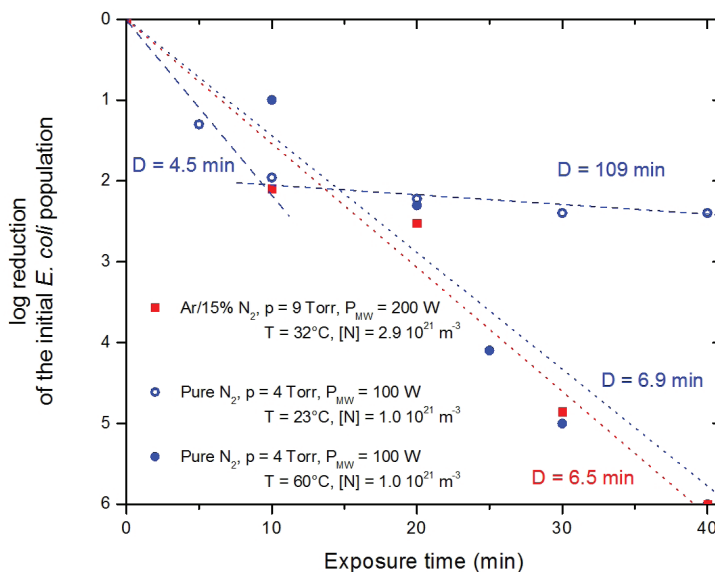
200 W, the N atom concentration increased to  $2.9 \times 10^{21} \text{ m}^{-3}$  and the sterile level ( $-6 \log$ ) was reached after 40 min exposure. Again, the survival curve has a unique slope, with a D value of 6.5 min.

Considering these results, the N atom concentration appears to be the key parameter controlling the biocidal efficiency of the Ar–N<sub>2</sub> afterglow, as previously reported by Villegier et al.<sup>7</sup> for the pure N<sub>2</sub> afterglow.

The synergy between the chemical action of the active species of the afterglows and the treatment temperature has already been demonstrated in N<sub>2</sub>–O<sub>2</sub> mixtures.<sup>6,23</sup> With the pure nitrogen afterglow, it is also necessary to increase the treatment temperature up to 60°C to reach the sterile level in 40 min (Fig. 11). With the Ar–15%N<sub>2</sub> afterglow, the same biocidal level can be obtained at a temperature much closer to room temperature ( $T = 32^\circ\text{C}$ ). Notably, the survival curves obtained with the pure N<sub>2</sub> afterglow at 60°C and with the Ar–15%N<sub>2</sub> afterglow at 32°C are very similar (unique slope and similar D values), which seems to indicate that the increase of the N atom concentration “compensated” for the heating effect.

## V. CONCLUSION

In pure nitrogen, it was previously found that it was necessary to add heating to 60°C to the late afterglow exposure to obtain the sterile state in 40 min in the presence of *E. coli* bacteria. Here, the afterglows of Ar–N<sub>2</sub> mixtures were studied to improve the production of nitrogen atoms. The Ar–15%N<sub>2</sub> mixture produced an increase in N atoms by a factor



**FIG. 11:** Effect of temperature on the survival curves of *E. coli* exposed to the pure N<sub>2</sub> late afterglows and comparison with the Ar–15%N<sub>2</sub> afterglow exposure. ( $d = 5 \text{ cm}$ ,  $Q_{\text{carrier}} = 1.0 \text{ slpm}$ ). Linear least-square fits are given, with the corresponding D values.



of two, without substantially modifying the late afterglow conditions or the production of other potentially active species, such as the NO(B) state, responsible for the main UV emission of the afterglow, or the  $N_2(A)$  metastable state.

The increase in N concentration made it possible to obtain the sterile level at a temperature of 32°C with the Ar–15% $N_2$  mixture; therefore, nitrogen is the principal chemical species involved in the death of bacteria. In  $O_2$  containing late afterglows, cell death is supposed to be the consequence of membrane etching by the O atoms and of damage caused to the DNA by UV radiation. As the etching power of the N atoms is low compared with that of the O atoms and the UV production is low in  $N_2$  and Ar– $N_2$  late afterglows, future work must further investigate the biocidal mechanisms of these afterglows.

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